

12-28-01

Section #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

LIIKANEN et al.

Serial No.: 09/954,997/

Filed: September 17, 2001

Atty. File No.: 3123-376

For: "METHOD AND APPARATUS FOR  
REDUCING EFFECTIVE TRACK  
WIDTH THROUGH HIGHLY  
SKEWED HEAD ANGLES"

) Group Art Unit: 2651

) Examiner:

) SUBMISSION OF MISSING PARTS

) "EXPRESS MAIL" MAILING LABEL NUMBER: EL 417659795 US  
) DATE OF DEPOSIT: 12/13/01

) I HEREBY CERTIFY THAT THIS WITH THE UNITED STATES  
) POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO  
) ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE  
) INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT  
) COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

) TYPED OR PRINTED NAME: Amy S. Duarte

) SIGNATURE: Amy Duarte

Commissioner of Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

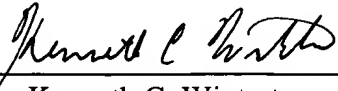
In response to the Notice to File Missing Parts dated October 31, 2001, in connection with the above-identified application, enclosed herewith please find a Declaration. Please note that the Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

Also enclosed please find a check for \$1,942.00 which includes payment of the filing fee in the amount of \$1,102.00 reflecting large entity status and the amount of \$130.00 for payment of the surcharge. Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970. A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Kenneth C. Winterton  
Registration No. 48,040  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: Dec 13, 2001



DEC 13 2001  
PATENT & TRADEMARK OFFICE  
UNITED STATES

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,997	09/17/2001	Bruce Liikanen	3123-376

## FORMALITIES LETTER



\*OC000000007004055\*

**Kenneth C. Winterton**  
**SHERIDAN ROSS P.C.**  
**1560 Broadway, Suite 1200**  
**Denver, CO 80202-5141**

Date Mailed: 10/31/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1102.
  - \$702 for 39 total claims over 20.
  - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1942.**

***A copy of this notice MUST be returned with the reply.***

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

DATE	DESCRIPTION	AMOUNT	BALANCE
1970-01-01	OPENING BALANCE		130.00 00
1970-01-15	PAYROLL	702.00 00	702.00 00
1970-01-31	RECEIPTS	420.00 00	420.00 00
1970-02-15	PAYROLL	690.00 00	690.00 00
1970-02-28	RECEIPTS	50.00 00	50.00 00
1970-03-01	CLOSING BALANCE		50.00 00